



Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: November 8, 2002

Sonia V. McVean
Sonia V. McVean



RECEIVED
NOV 21 2002

PATENT
36856.337

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|--|--------------------------|
| Applicant: Toshio NISHIMURA | Art Unit: 2834 |
| Serial No.: 09/648,523 | Examiner: M. Budd |
| Filed: August 26, 2000 | |
| Title: THICKNESS EXTENSIONAL VIBRATION MODE PIEZOELECTRIC RESONATOR, LADDER-TYPE FILTER, AND PIEZOELECTRIC RESONATOR COMPONENT | |

INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

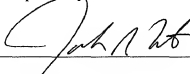
Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of seven (7) references cited in the enclosed search report issued in a corresponding Japanese patent application. For the Examiner's convenience, we have enclosed an English translation of the Japanese search report and a completed Form PTO-1449. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicant(s) respectfully request(s) that the disclosed reference(s) be made of record in the subject application.

Respectfully submitted,



Attorneys for Applicant(s)

Joseph R. Keating
Registration No. 37,368

Christopher A. Bennett
Registration No. 46,710

Date: November 8, 2002

KEATING & BENNETT LLP
10400 Eaton Place, Suite 312
Fairfax, VA 22030
(703) 385-5200



| | | |
|---|---------------------------------|-------------------------|
| FORM PTO-1449 (MODIFIED) | ATTY. DOCKET NO. 36856.337 | SERIAL NO. : 09/648,523 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT (USE SEVERAL SHEETS IF NECESSARY) | APPLICANT(S): Toshio NISHIMURA | |
| | FILING DATE: August 26, 2000 | GROUP: 2834 |

Reference Designation

U.S. Patents

| Examiner Initial | Document Number | Date | Name | Class | Filing Date Subclass if appropriate |
|------------------|--|---------|---------|-------|-------------------------------------|
| | AA | | | | |
| | AB | | | | |
| | AC | | | | |
| | AD | | | | |
| | AE | | | | |
| | AF | | | | |
| | FOREIGN PATENT DOCUMENTS | | | | |
| | Document Number | Date | Country | Class | Filing Date Subclass if appropriate |
| | AG 11-27083 | 01/1999 | Japan | | |
| | AH 8-154032 | 06/1996 | Japan | | |
| | AI 1-191508 | 08/1989 | Japan | | |
| | AJ 11-4133 | 01/1999 | Japan | | |
| | AK 11-4135 | 01/1999 | Japan | | |
| | AL 11-4134 | 01/1999 | Japan | | |
| | AM 10-65485 | 03/1998 | Japan | | |
| | AN | | | | |
| | AO | | | | |
| | OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) | | | | |
| | AP | | | | |
| | AR | | | | |
| | AS | | | | |
| | AT | | | | |
| EXAMINER | DATE CONSIDERED | | | | |
| | | | | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.